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P.2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Brian Sorrentino *et al.*

SERIAL NO.: 09/866,866

EXAMINER: UNKNOWN

DATE FILED: May 29, 2001

ART UNIT: 1633

FOR: METHOD OF IDENTIFYING AND/OR ISOLATING STEM CELLS AND  
PROGNOSING RESPONSIVENESS TO LEUKEMIA TREATMENT

**CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on November 14, 2001.

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Assistant Commissioner for Patents  
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Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR §1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publications forwarded herewith are listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed materials, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of References **AA** through **BV** listed on the attached Form PTO-1449.

References **AC**, **AD**, **AM**, and **AX** were cited in a International Search Report dated December 7, 1999 established by the European Patent Office International Searching Authority in connection with the corresponding PCT International Application. A copy of the International Search Report is also enclosed.

No fee is believed due for the filing of this statement inasmuch as it is being filed before the mailing of the first official action on the merits. However, should the Patent and Trademark Office determine otherwise, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

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Date: November 14, 2001